PATENT APPLICATION FEE DETERMINATION RECORD
Effective January 1, 2003

Application or Docket Number 10/634116

CLAIMS AS FILED - PART I

	(Column 1)	(Column 2)
TOTAL CLAIMS	13	
FOR	NUMBER FILED	NUMBER EXTRA
TOTAL CHARGEABLE CLAIMS	13 minus 20 =	∗ 0
INDEPENDENT CLAIMS	2 minus 3 =	∗ 0
MULTIPLE DEPENDENT CLAIM PRESENT		☐

∗ If the difference in column 1 is less than zero, enter "0" in column 2.

SMALL ENTITY TYPE ☐	RATE	FEE	OR	OTHER THAN SMALL ENTITY RATE	FEE
	BASIC FEE	375.00	OR	BASIC FEE	750.00
	X$ 9=		OR	X$18=	
	X42=		OR	X84=	
	+140=		OR	+280=	
	TOTAL		OR	TOTAL	750

CLAIMS AS AMENDED - PART II

AMENDMENT A

	(Column 1) CLAIMS REMAINING AFTER AMENDMENT		(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3) PRESENT EXTRA
Total	∗	Minus	∗∗	=
Independent	∗	Minus	∗∗∗	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM ☐				

SMALL ENTITY RATE	ADDITIONAL FEE	OR	OTHER THAN SMALL ENTITY RATE	ADDITIONAL FEE
X$ 9=		OR	X$18=	
X42=		OR	X84=	
+140=		OR	+280=	
TOTAL ADDIT. FEE		OR	TOTAL ADDIT. FEE	

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AMENDMENT B

	(Column 1) CLAIMS REMAINING AFTER AMENDMENT		(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3) PRESENT EXTRA
Total	∗ 30	Minus	∗∗ 20	= 10
Independent	∗ 4	Minus	∗∗∗ 3	= 1
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM ☐				

SMALL ENTITY RATE	ADDITIONAL FEE	OR	OTHER THAN SMALL ENTITY RATE	ADDITIONAL FEE
X$ 9=		OR	X$18=	500
X42=		OR	X84=	200
+140=		OR	+280=	
TOTAL ADDIT. FEE		OR	TOTAL ADDIT. FEE	400

AMENDMENT C

	(Column 1) CLAIMS REMAINING AFTER AMENDMENT		(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3) PRESENT EXTRA
Total	∗	Minus	∗∗	=
Independent	∗	Minus	∗∗∗	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM ☐				

SMALL ENTITY RATE	ADDITIONAL FEE	OR	OTHER THAN SMALL ENTITY RATE	ADDITIONAL FEE
X$ 9=		OR	X$18=	
X42=		OR	X84=	
+140=		OR	+280=	
TOTAL ADDIT. FEE		OR	TOTAL ADDIT. FEE	

∗ If the entry in column 1 is less than the entry in column 2, write "0" in column 3.
∗∗ If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."
∗∗∗ If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."
The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

SiVAULT SYSTEMS™

May 2, 2005

Jeffrey D. Popham
Patent Examiner
United States Patent and Trademark Office
Department of Commerce
P.O. Box 1450
Alexandria, Virginia 22313-1450

Subject: Patent Application Number 09/930,029
Filing Date: 08/14/2001
First Inventor: William B. Sweet
Art Unit: 2137

Dear Jeffrey,

On March 8, 2005, you sent us an office action on our patent application 09/930,029, "Method And Apparatus For A Web-Based Application Service Model For Security Management." In that office action you declared a restriction and said (in part):

"1. Restriction to one of the following inventions is required under 35 U.S.C. 121:

    I. Claims 1-22 and 52-58, drawn to a method for setting access permissions for a user.

    II. Claims 23-31 and 57-58, drawn to a method for setting access permissions to separate portions of the system.

    III. Claims 32-51 and 57-58, drawn to a cryptographic method and system including maintenance tasks performed by an administrator."

In effect, you were saying we had three inventions in one application, and you requested that we restrict the instant application to one of the three claim subsets described above.

After examining our application, we decided to agree with you and on March 29, an associate of mine, Alex Gelf, and I called you and we discussed our response. As I told you on March 29, we request that you proceed to examine invention I (claims 1-22 and 52-58) as the current (restricted) application, and we will file Continuations-In-Part on the other two inventions over the next few months.

If there are any questions, you can contact me at 408-398-7715, email bill.sweet@sivault.com.

Sincerely,

William B. Sweet
SiVault Systems

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